PTO/SB/05 (03-01)

Please type a plus sign (+) inside this box \rightarrow +

Approved for use through 10/31/2002. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Attorney Docket No.:

UTILITY PATENT APPLICATION TRANSMITTAL

First Inventor: Rodrigues et al.

Hydrophobically Modified Solution Polymers And Their Use

1943.ALC

In Surface Protecting Formulations

Express Mail Label No.: EL569393861US

(Only for new nonprovisional applications under 37 CFR 1.53(b)

APPLICATION ELEMENTS	ADDRESS TO: Commissioner for Patents
See MPEP chapter 600 concerning utility patent application contents	Box Patent Application
	Washington, DC 20231
1. See Fee Transmittal Form (e.g., PTO/SB/17)]	7. CD-ROM or CD-R in duplicate, large table or
(Submit an original, and a duplicate for fee processing)	Computer Program (Appendix)
(Submit an original, and a duplicate for fee processing) 2. □ Applicant claims small entity status.	8. Nucleotide and/or Amino Acid Sequence Submission
See 37 CFR 1.27.	(if applicable, all necessary)
3. S Specification [Total Pages32]	a. ☐ Computer Readable Form (CRF)
(preferred arrangement set forth below)	u. =
- Descriptive title of the Invention	b. Specification Sequence Listing on:
- Cross Reference to Related Applications	i. CD-ROM or CD-R (2 copies); or
- Statement Regarding Fed sponsored R & D	ii. □ paper
 Reference to sequence listing, a table, 	• •
or computer program listing appendix	c. Statements verifying identity of above copies
- Background of the Invention	
- Brief Summary of the Invention	ACCOMPANYING APPLICATION PARTS
- Brief Description of the Drawings (if filed)	y. Ma Assignment Papers (cover sheet & document(s))
- Detailed Description	10. □ 37 CFR 3.73(b) Statement □ Power of Attorney
- Claim(s)	(when there is an assignee)
- Abstract of the Disclosure	11. ☐ English Translation Document (if applicable)
4. Drawing(s) (35 USC 113) [Total Sheets]	12. ☑ Information Disclosure ☑ Copies of IDS
5. 2 Oath or Declaration [Total Pages2]	Statement (IDS)/PTO-1449 Citations
a. M Newly executed (original or copy)	13. Preliminary Amendment
b. Copy from a prior application (37 CFR 1.63(d))	14. 🗷 Return Receipt Postcard (MPEP 503)
(for continuation/divisional with Box 18 completed)	(Should be specifically itemized)
	15. ☐ Certified Copy of Priority Document(s)
i. DELETION OF INVENTOR(S)	(if foreign priority is claimed)
Signed statement attached deleting	16. ☐ Nonpublication Request and Certification under 35
inventor(s) named in the prior application,	U.S.C. 122 (b)(2)(B)(i). Applicant must attach form
see 37 CFR 1.63(d)(2) and 1.33(b).	PTO/SB/35 or its equivalent.
6. Application Data Sheet. See 37 CFR 1.76	17. Other
18. If a CONTINUING APPLICATION, check appropriate box, a	
Preliminary Amendment, or in an Application Data Sheet	
☐ Continuation ☐ Divisional ☐ Continuation-in-Par	
Prior application information: Examiner	Group/Art Unit:
For CONTINUATION or DIVISIONAL APPS only: The entire	disclosure of the prior application, from which an Oath or
Declaration is supplied under Box 5b, is considered a par	t of the disclosure of the accompanying Continuation or
Divisional application and is hereby incorporated by reference	2. The incorporation <u>can only</u> be relied upon when a portion
has been inadvertently omitted from the submitted application	
19. CORRESPOND	· · · · · · · · · · · · · · · · · · ·
☐ Customer Number or Bar Code Label	or S Correspondence address below
(Illaett Guatolilet 140. of 7	Attach bar code label here)

Name	Thomas F. Ro	Thomas F. Roland			
Address		NATIONAL STARCH AND CHEMICAL COMPANY 10 Finderne Avenue			
City	Bridgewater	State	New Jersey	Zip Code	08807-0500
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Name (Print/	Type Thomas F.	Roland	Registration N	lo. (Attorney/Agent)	42,110
Signature	Than I	FLA	Date		August 1, 2001

PTC/S8/17 (11-00)

Approved for use through 10/31/2002, OMB 3651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to re-

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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	mplete if Клоwп
Application Number	
Filing Date	
First Named Inventor	Rodrigues
Examiner Name	
Group Art Unit	
Attorney Docket No.	1943.AIC

	METHOD OF PAYMENT	FEE CALCULATION (continued)		
1	The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES		
	Deposit Account 14-0455	Large Small Entity Entity		
•	Number 14 0433	Fee Fee Fee Fee Fee Description F	Fee Paid	
	Deposit Account	Code (3) Code (3)		
	Name	105 130 205 55 Surcharge - late filling fee or oath		
	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet		
ſ	Aoolicant claims small entity status. See 37 CFR 1.27	139 130 139 130 Non-English specification		
	2. Payment Enclosed:	147 2,520 147 2,520 For filling a request for ex parte reexamination		
En En	Check Credit card Money Other	112 920" 112 920" Requesting publication of SIR prior to Examiner action		
Į	FEE CALCULATION	113 1,340" 113 1,340" Requesting publication of SIR after Examiner action		
ZI.	1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month		
	Large Entity Small Entity	116 390 216 195 Extension for reply within second month		
=	Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 390 217 445 Extension for reply within third month		
	101 710 201 355 Utility filing fee 710.00	113 1,390 218 695 Extension for reply within fourth month		
ŭ.	106 329 206 160 Design filling fee	128 1,390 228 945 Extension for reply within fifth month		
	107 490 207 245 Plant filling fee	119 310 219 155 Notice of Appeal		
ideda. Jeraa	108 710 208 355 Reissue filing fee	120 310 220 155 Filling a brief in support of an appeal		
anta i	114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing		
ad:	SUBTOTAL (1) (S) 710.00	138 1,510 138 1,510 Petition to institute a public use proceeding		
1	2. EXTRA CLAIM FEES	140 110 240 55 Petition to revive - unavoidable		
\mathbf{q}^{\dagger}	Fee from _	141 1,240 241 620 Petition to revive - unintentional		
1	Extra Claims below Fee Paid			
	Independent 4 - 3" = 1 x 80.00 = 80.00	143 440 243 220 Design issue fee		
	Multiple Dependent	122 130 122 130 Petitions to the Commissioner		
		123 50 123 50 Processing fee under 37 CFR 1.17(q)		
	Large Entity Small Entity Fee Fee Fee Fee Description	125 130 125 180 Submission of information Disclosure Stmt		
ı	Fee Fee Fee Fee Description Code (S) Code (S)	524 40 524 40 Pagagina anah sanah sanah sanah		
1	103 18 203 9 Claims in excess of 20	property (times number of properties)	40.00	
	102 30 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))		
	109 30 209 40 ** Reissue independent claims	149 710 249 355 For each additional invention to be examined (37 CFR § 1,129(b))		
	över original patent			
	110 13 210 9 "Reissue claims in excess of 20 and over original patent	179 710 279 355 Request for Continued Examination (RCE)		
		169 900 163 900 Request for expedited examination of a design application		
SUBTOTAL (2) (S) 80.00 Cither (see (specify)				
	or number previously paid, if greater; For Reissues, see above	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (S) 40.00	o	

SUBMITTED BY			Complete (if applicable)
Name (PonuType)	Thomas F. Roland	Registration No. 42,110	Telephane 908-685-5127,
Signature	The IRL		Date August 1, 2001